



Banc Ceannais na hÉireann
Central Bank of Ireland

Eurosystem

Form CH1.1 - Registration of Charge (One-Stage Procedure)

Irish Collective Asset-management
Vehicle ('ICAV')

May 2018

Form CH1.1 - Registration of Charges

Note: This form is applicable in the context of the one-stage procedure as outlined in Section 93(3) of the Irish Collective Asset-management Vehicles Act 2015 ('ICAV Act')

In accordance with Section 93(3) of the ICAV Act, please ensure that this application form is submitted no later than 21 days after the creation of the charge and that all details provided in this application form are accurate and correct. In accordance with Section 93(2) of the ICAV Act, an omission of details in relation to a charge will render the charge void.

General Information

ICAV name

in full

Systematica UCITS Fund ICAV

The ICAV name must correspond exactly with the name on the Register

Description of Charge

An Institutional futures client account agreement dated 21 July 2022 between BofA Securities, Inc. (**Clearing Member**) and Systematica UCITS Fund ICAV (the **Fund**), an Irish collective asset-management vehicle with variable capital constituted as an umbrella fund with segregated liability between its sub-funds, acting solely on behalf of its sub-fund Systematica Alternative Risk Premia Fund (the **Sub-Fund**) (the Fund, acting on behalf of the Sub-Fund, the **Client**), as supplemented by a cleared derivatives transactions addendum dated 21 July 2021 (the **Agreement**).

Charge Details

Date created

21-Jul-22

Short Particulars of property charged (maximum 250 words)

Pursuant to Clause 9 (*Collateral and Security*) of the Agreement, the Client pledged its right, title and interest in and to all Collateral to Clearing Member and each of its Affiliates and granted to Clearing Member and each of its Affiliates a general lien on, security interest and right of set-off and recoupment against all Collateral.

FURTHER PARTICULARS - DEFINITIONS

"**Account**" means one or more account(s) carried by Clearing Member for the Client;

"**Affiliate**" means any corporation, partnership, limited liability company or other entity that is directly or indirectly controlled by, controls, or under common control with, Clearing Member, including but not limited to each direct or indirect subsidiary (other than Clearing Member) of Bank of America Corporation.

"**Collateral**" means (a) the Account, (b) Futures Contracts (including all rights against any Clearing Member Entity, any domestic or foreign clearing member clearing such Futures Contracts, or any domestic or foreign exchange, contract market or clearing house on or through which such Futures Contracts are cleared), (c) all rights in respect of unsettled transactions, (d) all monies, securities, financial assets, general intangibles, other property, in each case with respect to Futures Contracts, which any Clearing Member Entity may at any time be carrying for Client or which may at any time be under the control or in the possession of, or owing or pledged to, any Clearing Member Entity, any domestic or foreign clearing member, any domestic or foreign exchange, contract market or clearing house, or any agents (including for safekeeping) of Client, any Clearing Member Entity, any domestic or foreign clearing member or any domestic or foreign exchange, contract market or clearing house, and (e) all proceeds of any of the foregoing;

"**Clearing Member Entity**" means Clearing Member or any of its Affiliates;

"EFRP" means exchange of futures for physical, swap or other related derivative position transaction;
and

"Futures Contracts" means the purchase and sale of cash commodities (including financial instruments), options on cash commodities, commodity futures contracts, options on futures contracts, security futures products, forward or leverage contracts, EFRPs, exchange-traded or cleared derivatives contracts, and any similar instruments which may be purchased, sold or cleared by or through Clearing Member on behalf of Client and for the Account (and each a Futures Contract).

Capitalised terms used but not defined herein shall have the meanings ascribed to them in the Agreement.

If additional space is required to answer 1.2.2 above, please attach supplementary information to form

Person to whom certificate of registration of charge will issue

Name

Address

Email

Persons entitled to the charge

Name

Address

Email

Name

Address

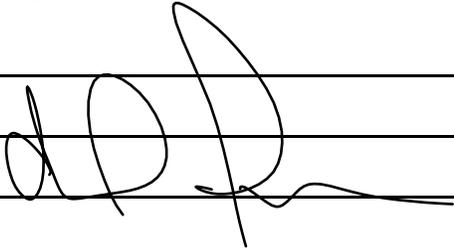
Email

Name

Address

Email

I confirm that the information set out in this application form is correct and accurately reflects the particulars of a charge created by an ICAV and the form is completed in full.

Signature on behalf of ICAV:	
Print name: Neil Moran	
Position held: Solicitor	
Nature of interest in the charge: Solicitor authorised to sign on behalf of the ICAV	

Signature on behalf of person entitled to the charge:	
Print name: Adam Hanna	
Position held: Solicitor	
Nature of interest in the charge: Solicitor authorised to sign on behalf of BofA Securities, Inc.	

The form may be signed by a director of the ICAV or the secretary (or any joint secretary) or solicitor acting on behalf of the ICAV and by any person duly authorised on behalf of the charge holder.

The Central Bank may process personal data provided by you in order to fulfil its statutory functions or to facilitate its business operations. Any personal data will be processed in accordance with the requirements of data protection legislation. Any queries concerning the processing of personal data by the Central Bank may be directed to dataprotection@centralbank.ie. A copy of the Central Bank's Data Protection Notice is available at www.centralbank.ie/fns/privacy-statement.